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## CONFIDENTIAL ATTORNEY-CLIENT PRIVILEGED COMMUNICATION

Date:

**FEBRUARY 25, 2004** 

To:

**EXAMINER SHARON A. POLK** 

U.S. PATENT AND TRADEMARK OFFICE

Fax #:

(703) 872-9319

From:

FRANK C. NICHOLAS

Fax #:

(847) 424-2521

Client/Matter No.:

US010345 (7790/46)

# of Pages:

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PRANK C NICHOLAS (33 983)

Name of Appellant, assigned or registered representative

Peproary 25, 2004 Date of Signature

PATENT Case No. <u>US010345</u> (7790/46)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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DE	EMETRI GIANNOPOULOS, ET AL.	)	Examiner: POLK, SHARON A
Serial No.	09/916,955	)	
Filed.	JULY 27, 2001	)	Group Art Unit: 2836
	JAL ENERGY COUPLING	)	

#### APPEAL BRIEF

Mail Stop Appeal Brief - Patents Commissioner for Patents P O. Box 1450 Alexandria, VA 22313-1450

Dear Sir.

Appellant herewith respectfully presents a Brief on Appeal as follows:

Case No.: US010345 (7790/46) Serial No.: 09/916,955

Filed: July 27, 2001 Page 2 of 23

#### 1. REAL PARTY IN INTEREST

The real party in interest is Koninklijke Philips Electronics N.V., a corporation of The Netherlands having an office and a place of business at Groenewoudseweg 1, Eindhoven, Netherlands 5621 BA.

#### 2. RELATED APPEALS AND INTERFERENCES

Appellant and the undersigned attorney are not aware of any other appeals or interferences which will directly affect or be directly affected by or having a bearing on the Board's decision in the pending appeal.

#### 3. STATUS OF CLAIMS

Claims 13-15 have been cancelled from the present application. Claims 1-12, 16 and 17 are currently the claims pending in the application, and are the claims on appeal.

See, the Appendix.

Claims 1 stands finally rejected under 35 U.S.C. §102(b) as being anticipated by U.S. Patent No. 5,422,519 to Russell.

Claim 2 stands finally rejected under 35 U.S.C. §103(a) as unpatentable over U.S. Patent No. 5,422,519 to Russell in view of U.S. Patent No. 5,521,573 to Inoh et al.

Claim 3 stands finally rejected under 35 U.S.C. §103(a) as unparentable over U.S. Patent No. 5,422,519 to Russell in view of U.S. Patent No. 5,814,900 to Esser et al.

F-343

February 25, 2004

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001 Page 3 of 23

Claim 4 stands finally rejected under 35 U.S.C. §103(a) as unpatentable over U.S. Patent No. 5,422,519 to Russell in view of U.S. Patent No. 6,087,694 to Ohno et al.

Claims 5-9 stand finally rejected under 35 U.S.C. §102(b) as being anticipated by U.S. Patent No. 3,742,408 to Jaeger.

Claim 10 stands finally rejected under 35 U.S.C. §103(a) as unpatentable over

U.S. Patent No. 3,742,408 to Jaeger in view of U.S. Patent No. 5,521,573 to Inoh et al.

Claim 11 stands finally rejected under 35 U.S.C. §103(a) as unpatentable over

U.S. Patent No. 3,742,408 to Jaeger in view of U.S. Patent No. 5,814,900 to Esser et al.

Claim 12 stands finally rejected under 35 U.S.C. §103(a) as unpatentable over

U.S. Patent No. 3,742,408 to Jaeger in view of U.S. Patent No. 6,087,694 to Ohno et al.

Claims 16 and 17 stand finally rejected under 35 U.S.C. §103(a) as unpatentable over U.S. Patent No. 3,742,408 to *Jaeger* in view of U.S. Patent No. 4,893,332 to *Brown* et al.

Case No.: US010345 (7790/46) Serial No.: 09/916,955

> Filed: July 27, 2001 Page 4 of 23

#### 4. STATUS OF AMENDMENTS

A request for reconsideration under 37 C.F.R. §1.112 involving an amendment of claims 1, 2, 4-10, 12, 16 and 17, and a cancellation of claims 13-15 was filed on 03/21/2003, and was entered into the present application by Examiner Polk.

A request for reconsideration under 37 C.F.R. §1.116 involving an amendment of claim 16 was filed on 07/30/2003, but was not entered into the present application by Examiner Polk.

#### 5. SUMMARY OF THE INVENTION

As illustrated in FIGS. 1 and 5, a source circuit 10 of a dual energy coupling device employs a source 11, a source 12 and a source 13, and a load circuit 20 of the dual energy coupling device employs a load 21, a load 22, and a load 23. A pair of conductors 14 and 24 serves as means for inductively coupling source 11 and load 21 by a transfer of magnetic energy across an interface 30 when source 11 is providing an electrical signal 1<sub>551</sub>. Likewise, a pair of conductors 15 and 25 serves as means for inductively coupling source 13 and load 23 by a transfer of magnetic energy across interface 30 when source 12 is providing an electrical signal I<sub>552</sub>. Additionally, conductors 14, 24, 15 and 25 serve as means for capacitively coupling source 12 and load 22 by a transfer of electric energy across the interface when source 11 and load 21 are inductively coupled and when source 13 and load 23 are inductively coupled. See, U.S. Paient Application Serial No. 09/916,955 at page 4, line 23 to page 6, line 18; and page 8, line 8 to page 9, line 17.

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February 25, 2004

Case No.: US010345 (7790/46) Serial No.: 09/916,955 Filed: July 27, 2001

Page 5 of 23

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As illustrated in FIGS. 2A and 3A, to enhance the energy transfers across interface 30, conductors 14 and 24 have spiral configurations that are symmetrical relative to interface 30, and conductors 15 and 25 have spiral configurations that are symmetrical relative to interface 30. Furthermore, as illustrated in FIGS. 4A-4E, conductors 14 and 15 are formed on a corrugated surface of a substrate 40, and conductors 24 and 25 are formed on a corrugated surface of a substrate 41. See, U.S. Patent Application Serial No. 09/916,955 at page 6, line 19 to page 8, line 7.

#### 6. ISSUE

Whether claims 1-4 are patentable over Russell, and whether claims 5-12, 16 and 17 are patentable over Jaeger.

#### GROUPING OF CLAIMS

Claims 1-12, 16 and 17 should be considered as four (4) groups.

Group I includes independent claim 1, and dependent claims 2-4.

Group II includes independent claim 5, and dependent claims 6-12.

Group III includes independent claim 16.

Group IV includes independent claim 17.

Case No.: US010345 (7790/46) Serial No.: 09/916,955

Filed: July 27, 2001 Page 6 of 23

#### 8. ARGUMENTS

Claim Groups I and II. "A claim is anticipated only if each and every element as set forth in the claim is found, either expressly or inherently described, in a single prior art reference." Verdegual Bros. v. Union Oil Co. of California, 814 F.2d 628, 631, 2 USPQ2d 1051, 1053 (Fed. Cir. 1987). "The identical invention must be shown in as complete detail as is contained in the ... claim." Richardson v. Suzuki Motor Co., 868 F.2d 1226, 1236, 9 USPQ2d 1913, 1920 (Fed. Cir. 1989).

The Appellant respectfully traverses the §102(b) rejection of independent claim 1, because Russell fails to disclose and teaches away from "wherein said first electric conductor is operable to transfer a magnetic energy and an electric energy across an interface to said second electric conductor in response to a reception of an alternating electric signal" as recited in independent claim 1.

Specifically, as illustrated in FIGS. 1-3, Russell teaches an electric isolation of core 2 from a core 3 by an insertion of a skin interface 4 between core 2 and core 3. See, Russell at column 2, line 66 to column 3, line 14. As is well known in the art, to establish an electrically isolation of core 2 from core 3, there must be zero voltage drop across skin interface 4 and zero current flow through skin interface 4. Consequently, there must be no electric energy transferred across skin interface 4 to electrically isolate core 2 from core 3.

February 25, 2004 Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001

Page 7 of 23

teaches a generation of a magnetic field by core 2 in response to an electric voltage signal applied by circuit 10 to winding 5 whereby the magnetic field of core 2 crosses the skin interface 4 to cut through core 3 and winding 6 to thereby induce a generation of a voltage signal by core 3. Conversely, to transfer power from circuit 11 to circuit 10, \*Russell\* teaches a generation of a magnetic field by core 3 in response to an electric voltage signal applied by circuit 11 to winding 6 whereby the magnetic field of core 3 crosses the skin interface 4 to cut through core 2 and winding 5 to thereby induce a generation of a voltage signal by core 2. See, \*Russell\* at column 3, lines 18 to 29.

In summary, Russell clearly discloses electric conductor 5 being operable to transfer a magnetic energy via core 2 across skin interface 4 to electric conductor 6 in response to a reception of an alternating electric signal by conductor 5 from circuit 10, and electric conductor 6 operable to transfer a magnetic energy via core 3 across skin interface 4 to electric conductor 5 in response to a reception of an alternating electric signal by conductor 6 from circuit 11. And, by teaching an electric isolation of cores 2 and 3, Russell unequivocally teaches away from electric conductor 5 being operable to transfer an electric energy across skin interface 4 to electric conductor 6 in response to a reception of an alternating electric signal by conductor 5 from circuit 10, and electric

Case No.: US010345 (7790/46) Serial No.: 09/916,955

Filed: July 27, 2001 Page 8 of 23

conductor 6 operable to transfer an electric energy across skin interface 4 to electric conductor 5 in response to a reception of an alternating electric signal by conductor 6 from circuit 11. Otherwise, cores 2 and 3 would not be electrically isolated.

Withdrawal of the rejection of independent claim 1 under 35 U.S.C. §102(b) as being anticipated by *Russell* is therefore respectfully requested.

Claim 2 depends from independent claim 1. Therefore, dependent claim 2 includes all of the elements and limitations of independent claim 1. It is therefore respectfully submitted by the Appellant that dependent claim 2 is allowable over Russell in view of Inoh for at least the same reason as set forth herein with respect to independent claim 1 being allowable over Russell. Withdrawal of the rejection of dependent claim 2 under U.S.C. §103(a) as being patentable over Russell in view of Inoh is therefore respectfully requested.

Case No.: US010345 (7790/46) Serial No.: 09/916,955

Filed: July 27, 2001 Page 9 of 23

Claim 3 depends from independent claim 1. Therefore, dependent claim 3 includes all of the elements and limitations of independent claim 1. It is therefore respectfully submitted by the Appellant that dependent claim 3 is allowable over Russell in view of Esser for at least the same reason as set forth herein with respect to independent claim 1 being allowable over Russell. Withdrawal of the rejection of dependent claim 3 under U.S.C. §103(a) as being patentable over Russell in view of Esser is therefore respectfully requested.

Claim 4 depends from independent claim 1. Therefore, dependent claim 4 includes all of the elements and limitations of independent claim 1. It is therefore respectfully submitted by the Appellant that dependent claim 4 is allowable over Russell in view of Ohno for at least the same reason as set forth herein with respect to independent claim 1 being allowable over Russell. Withdrawal of the rejection of dependent claim 4 under U.S.C. §103(a) as being patentable over Russell in view of Ohno is therefore respectfully requested.

The Appellant respectfully traverses the §102(b) rejection of independent claim 5, because Jaeger fails to disclose, teach or suggest "wherein said first electric conductor is operable to transfer a first magnetic energy and a first electric energy across an interface to said second electric conductor in response to a reception of the first electric signal" as recited in independent claim 5.

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001 Page 10 of 23

Specifically, Jaeger discloses a power source 10, an electric conductor 18 in electrical communication with power source 10 to thereby receive a first electric signal from power source 10, and an electric conductor 28 in electrical communication with a load 20. Jaeger teaches an interconnection of a toroid 12 and a toroid 22 by a single loop 30 across an air interface between toroids 12 and 22, wherein electric conductor 18 is operable to transfer electric energy across the air interface via toroid 12, loop 30 and toroid 22 to electric conductor 28 (i.e., a flow of an electric current through loop 30 as induced by toroid 12, which is energized by the flow of current through conductor 18 as supplied by power source 10). Jueger fails to teach or suggest electric conductor 18 being operable to transfer magnetic energy across the air interface via toroid 12 and toroid 22 to electric conductor 28 (i.e., a flow of magnetic flux lines from toroid 12 across the air interface to toroid 22 that is induced by the flow of current through conductor 18 as supplied by power source 10).

Withdrawal of the rejection of independent claim 5 under 35 U.S.C. §102(b) as being anticipated by Jueger is therefore respectfully requested.

Case No.: US010345 (7790/46) Serial No.: 09/916,955

Filed: July 27, 2001 Page 11 of 23

Claims 6-9 depend from independent claim 5. Therefore, dependent claims 6-9 include all of the elements and limitations of independent claim 5. It is therefore respectfully submitted by the Appellant that dependent claims 6-9 are allowable over *Jueger* for at least the same reason as set forth herein with respect to independent claim 5 being allowable over *Jueger*. Withdrawal of the rejection of claims 6-9 under 35 U.S.C. §102(b) as being anticipated by *Jueger* is respectfully requested.

Claim 10 depends from independent claim 5. Therefore, dependent claim 10 includes all of the elements and limitations of independent claim 5. It is therefore respectfully submitted by the Appellant that dependent claim 10 is allowable over Jaeger in view of Inoh for at least the same reason as set forth herein with respect to independent claim 5 being allowable over Jaeger. Withdrawal of the rejection of dependent claim 10 under U.S.C. §103(a) as being patentable over Jaeger in view of Inoh is therefore respectfully requested.

Case No.: US010345 (7790/46) Serial No.: 09/916,955 Filed: July 27, 2001

Page 12 of 23

Claim 11 depends from independent claim 5. Therefore, dependent claim 11 includes all of the elements and limitations of independent claim 5. It is therefore respectfully submitted by the Appellant that dependent claim 11 is allowable over Jaeger in view of Esser for at least the same reason as set forth herein with respect to independent claim 5 being allowable over Jaeger. Withdrawal of the rejection of dependent claim 11 under U.S.C. §103(a) as being patentable over Jaeger in view of Esser is therefore respectfully requested.

Claim 12 depends from independent claim 5. Therefore, dependent claim 12 includes all of the elements and limitations of independent claim 5. It is therefore respectfully submitted by the Appellant that dependent claim 12 is allowable over Jaeger in view of Ohno for at least the same reason as set forth herein with respect to independent claim 5 being allowable over Jaeger. Withdrawal of the rejection of dependent claim 12 under U.S.C. §103(a) as being patentable over Jaeger in view of Ohno is therefore respectfully requested.

Case No.: US010345 (7790/46) Serial No.: 09/916,955 Filed: July 27, 2001

Page 13 of 23

Claim Groups III and V. To establish a prima fucie case of obviousness, three basic criteria must be met. First, there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. Second, there must be a reasonable expectation of success. Finally, the prior art reference (or references when combined) must teach or suggest all the claim limitations. See, MPEP §2143.

The Appellant respectfully traverses the §103(a) rejection of dependent claim 16, because Jaeger and Brown in combination fails to disclose, teach or suggest "means for capacitively coupling said third power source and said third load when said first power source and said first load are inductively coupled and when said second power source and said second load are inductively coupled" as recited in independent claim 16.

Specifically, Examiner Polk has correctly recognized Jaeger's failure to disclose, teach or suggest the aforementioned limitation of claim 16. A careful review of Brown reveals that Brown also fails to disclose, teach or suggest the aforementioned limitation of claim 16. Specifically, as illustrated in FIGS. 2 and 5, Brown discloses a capacitive coupling of a power source in the form of inductively coupled telephones lines 102 and 103 to a load in the form of bridge rectifier 106 via capacitors 104 and 105. See, Brown

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001

Page 14 of 23

at column 3, line 20 to column 4, line 2. With the inductively coupled telephone lines 102 and 103 serving as the "third power source" recited in independent claim 16, and the bridge rectifier 106 serving as the "third load" recited in independent claim 16, *Brown* clearly fails to teach or suggest a "first power source" and "first load" being "inductively coupled" and a "second power source" and "second load" being "inductively coupled" as required by independent claim 16.

Withdrawal of the rejection of independent claim 16 under 35 U.S.C. §103(a) as being unpatentable over Jaeger in view of Brown is therefore respectfully requested.

The Appellant respectfully traverses the §103(a) rejection of independent claim 17, because Jueger and Brown in combination fails to disclose, teach or "means for capacitively coupling said third power source and said load when said first power source is providing the first electric signal and said second power source is providing the second electric signal" as recited in independent claim 17.

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001 Page 15 of 23

Specifically, Examiner Polk has correctly recognized *Jueger*'s failure to disclose, teach or suggest the aforementioned limitation of claim 17. A careful review of *Brown* reveals that *Brown* also fails to disclose, teach or suggest the aforementioned limitation of claim 17. Specifically, as illustrated in FIGS. 2 and 5, *Brown* discloses a capacitive coupling of a power source in the form of inductively coupled telephones lines 102 and 103 to a load in the form of bridge rectifier 106 via capacitors 104 and 105. See, *Brown* at column 3, line 20 to column 4, line 2. With the inductively coupled telephone lines 102 and 103 serving as the "third power source" recited in independent claim 17, and the bridge rectifier 106 serving as the "load" recited in independent claim 17, *Brown* clearly fails to teach or suggest a "first power source" providing "a first electric signal" and a "second power source" providing "a second electric signal" as required by independent claim 17.

February 25, 2004 Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001 Page 16 of 23

Withdrawal of the rejection of independent claim 17 under 35 U.S.C. §103(a) as being unpatentable over *Jueger* in view of *Brown* is therefore respectfully requested.

Dated: February 25, 2004

Respectfully submitted, Demetri Giannopoulos

PHILIPS INTELLECTUAL PROPERTY & STANDARDS
P.O. Box 3001
Briarchiff, New York 10510
(914) 333-9634

Robert J. Kraus Registration No. 26,358 Attorney for Appellant

CARDINAL LAW GROUP Suite 2000 1603 Orrington Avenue Evanston, Illinois 60201

Phone: (847) 905-7111 Fax: (847) 905-7113 Frank C. Nicholas Registration No. 33,983 Attorney for Appellant

Case No.: US010345 (7790/46) Serial No.: 09/916,955 Filed: July 27, 2001 Page 17 of 23

#### <u>APPENDIX</u>

- A dual energy coupling device, comprising:
  - a first electric conductor; and
  - a second electric conductor,

wherein said first electric conductor is operable to simultaneously transfer a magnetic energy and an electric energy across an interface to said second electric conductor in response to a reception of an alternating electric signal.

- The dual energy coupling device of claim 1, wherein:
   said first electric conductor has a first spiral configuration; and
   said second electric conductor has a second spiral configuration.
- 3. The dual energy coupling device of claim 1, wherein:
  said first electric conductor and said second electric conductor are symmetrical
  relative to the interface.

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001 Page 18 of 23

The dual energy coupling device of claim 1, further comprising:

a first substrate including a first corrugated surface having said first electric conductor formed thereon; and

a second substrate including a second corrugated surface having said second electric conductor formed thereon.

5. A dual energy coupling device, comprising:

a first power source operable to provide a first electric signal;

a first electric conductor in electrical communication with said first power source to thereby receive the first electric signal when said first power source is providing the first electric signal; and

a second electric conductor,

wherein said first electric conductor is operable to simultaneously transfer a first magnetic energy and a first electric energy across an interface to said second electric conductor in response to a reception of the first electric signal.

Case No.: US010345 (7790/46) Serial No.: 09/916,955

Filed: July 27, 2001 Page 19 of 23

The dual energy coupling device of claim 5, further comprising:

a load in electrical communication with said second electric conductor,

wherein a current drive signal flows through said second electric conductor and said load in response to a reception of said first magnetic energy by said second electric conductor.

The dual energy coupling device of claim 5, further comprising:

a second power source operable to provide a second electric signal;

a third electric conductor in electrical communication with said second power source to thereby receive the second electric signal when said second power source is providing the second electric signal; and

a fourth electric conductor,

wherein said third electric conductor is operable to simultaneously provide a second magnetic energy and a second electric energy across the interface to said fourth electric conductor in response to a reception of the second electric signal.

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001 Page 20 of 23

- 8. The dual energy coupling device of claim 7, further comprising:
  - a load in electrical communication with said fourth electric conductor,

wherein a current drive signal flows through said fourth electric conductor and said load in response to a reception of said second magnetic energy by said fourth electric conductor.

- 9. The dual energy coupling device of claim 7, further comprising:
  - a third power source operable to provide a current control signal; and
- a load operable to be in electrical communication with said third power source in response to a reception of said first electric energy by said second electric conductor and a reception of said second electric energy by said fourth electric conductor to thereby receive the current control signal when said third power source is providing the current control signal.
- The dual energy coupling device of claim 5, wherein:

  said first electric conductor has a first spiral configuration; and
  said second electric conductor has a second spiral configuration.

February 25, 2004 Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001 Page 21 of 23

- The dual energy coupling device of claim 5, wherein:

  said first electric conductor and said second electric conductor are symmetrical relative to the interface.
- 12. The dual energy coupling device of claim 5, further comprising:

  a first substrate including a first corrugated surface having said first electric conductor formed thereon; and

a second substrate including a second corrugated surface having said second electric conductor formed thereon.

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001 Page 22 of 23

- 16. A dual energy coupling device, comprising:
  - a first power source operable to provide a first electric signal;
  - a first load;

means for inductively coupling said first power source and said first load when said first power source is providing the first electric signal;

- a second power source operable to provide a second electric signal;
- a second load;

means for inductively coupling said second power source and said second load when said second power source is providing the second electric signal;

- a third power source;
- a third load; and

meuns for capacitively coupling said third power source and said third load when first power source and said first load are inductively coupled and when said second power source and said second load are inductively coupled.

February 25, 2004 Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001 Page 23 of 23

- 17. A dual energy coupling device, comprising:
  - a first power source operable to provide a first electric signal;
  - a second power source operable to provide a second electric signal;
  - a third power source;
  - a load; and

means for capacitively coupling said third power source and said load when said first power source is providing the first electric signal and said second power source is providing the second electric signal.

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FRANK C. NICHOLAS (33.983)

Name of Appellant, assigned or registered representative

Agriculture

February 25, 2004

Date of Signature

OFFICIAL

PATENT Case No. <u>US010345</u> (7790/46)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re pat	ent application of:	)	
	DEMETRI GIANNOPOULOS, ET AL	)	Examiner: POLK, SHARON A.
Serial N	o.: 09/916,955	)	Group Art Unit: 2836
Filed:	JULY 27, 2001	) )	
	DUAL ENERGY COUPLING DEVICE	)	

#### APPEAL BRIEF

Mail Stop Appeal Brief - Patents Commissioner for Patents P O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Appellant herewith respectfully presents a Brief on Appeal as follows:

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001

Page 2 of 23

#### 1. REAL PARTY IN INTEREST

The real party in interest is Koninklijke Philips Electronics N.V, a corporation of The Netherlands having an office and a place of business at Groenewoudseweg 1, Eindhoven, Netherlands 5621 BA.

### 2 RELATED APPEALS AND INTERFERENCES

Appellant and the undersigned attorney are not aware of any other appeals or interferences which will directly affect or be directly affected by or having a bearing on the Board's decision in the pending appeal

#### 3 STATUS OF CLAIMS

Claims 13-15 have been cancelled from the present application. Claims 1-12, 16 and 17 are currently the claims pending in the application, and are the claims on appeal.

See, the Appendix

Claims 1 stands finally rejected under 35 U.S.C. §102(b) as being anticipated by U.S. Patent No. 5,422,519 to Russell.

Claim 2 stands finally rejected under 35 U.S.C. §103(a) as unpatentable over U.S. Patent No. 5,422,519 to Russell in view of U.S. Patent No. 5,521,573 to Inoh et al.

Claim 3 stands finally rejected under 35 U.S.C §103(a) as unpatentable over U.S. Patent No. 5,422,519 to Russell in view of U.S. Patent No. 5,814,900 to Esser et al.

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001

Page 3 of 23

Claim 4 stands finally rejected under 35 U.S.C. §103(a) as unpatentable over U.S.

Patent No. 5,422,519 to Russell in view of U.S. Patent No. 6,087,694 to Ohno et al.

Claims 5-9 stand finally rejected under 35 U.S.C. §102(b) as being anticipated by

U.S. Patent No. 3,742,408 to Jaeger.

Claim 10 stands finally rejected under 35 U.S.C. §103(a) as unpatentable over

U.S. Patent No. 3,742,408 to Jaeger in view of U.S. Patent No. 5,521,573 to Inoh et al.

Claim 11 stands finally rejected under 35 U.S.C. §103(a) as unpatentable over

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Claim 12 stands finally rejected under 35 U.S.C. §103(a) as unpatentable over

U.S. Patent No. 3,742,408 to Jaeger in view of U.S. Patent No. 6,087,694 to Ohno et al.

Claims 16 and 17 stand finally rejected under 35 U.S.C. §103(a) as unpatentable over U.S. Patent No. 3,742,408 to *Jaeger* in view of U.S. Patent No. 4,893,332 to *Brown* et al.

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001

Page 4 of 23

#### 4 STATUS OF AMENDMENTS

A request for reconsideration under 37 C.F.R. §1.112 involving an amendment of claims 1, 2, 4-10, 12, 16 and 17, and a cancellation of claims 13-15 was filed on 03/21/2003, and was entered into the present application by Examiner Polk.

A request for reconsideration under 37 C.F R. §1.116 involving an amendment of claim 16 was filed on 07/30/2003, but was not entered into the present application by Examiner Polk

#### SUMMARY OF THE INVENTION

As illustrated in FIGS 1 and 5, a source circuit 10 of a dual energy coupling device employs a source 11, a source 12 and a source 13, and a load circuit 20 of the dual energy coupling device employs a load 21, a load 22, and a load 23. A pair of conductors 14 and 24 serves as means for inductively coupling source 11 and load 21 by a transfer of magnetic energy across an interface 30 when source 11 is providing an electrical signal lssi. Likewise, a pair of conductors 15 and 25 serves as means for inductively coupling source 13 and load 23 by a transfer of magnetic energy across interface 30 when source 12 is providing an electrical signal lssi. Additionally, conductors 14, 24, 15 and 25 serve as means for capacitively coupling source 12 and load 22 by a transfer of electric energy across the interface when source 11 and load 21 are inductively coupled and when source 13 and load 23 are inductively coupled See, U.S. Patent Application Serial No. 09/916,955 at page 4, line 23 to page 6, line 18; and page 8, line 8 to page 9, line 17.

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed. July 27, 2001

Page 5 of 23

As illustrated in FIGS. 2A and 3A, to enhance the energy transfers across interface 30, conductors 14 and 24 have spiral configurations that are symmetrical relative to interface 30, and conductors 15 and 25 have spiral configurations that are symmetrical relative to interface 30. Furthermore, as illustrated in FIGS. 4A-4E, conductors 14 and 15 are formed on a corrugated surface of a substrate 40, and conductors 24 and 25 are formed on a corrugated surface of a substrate 41. See, U.S. Patent Application Serial No. 09/916.955 at page 6, line 19 to page 8, line 7.

#### 6. ISSUE

Whether claims 1-4 are patentable over Russell, and whether claims 5-12, 16 and 17 are patentable over Jaeger.

#### GROUPING OF CLAIMS

Claims 1-12, 16 and 17 should be considered as four (4) groups.

Group I includes independent claim 1, and dependent claims 2-4.

Group II includes independent claim 5, and dependent claims 6-12.

Group III includes independent claim 16.

Group IV includes independent claim 17

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001

Page 6 of 23

#### 8. ARGUMENTS

Claim Groups I and II "A claim is anticipated only if each and every element as set forth in the claim is found, either expressly or inherently described, in a single prior art reference." Verdegaal Bros v. Union Oil Co. of California, 814 F.2d 628, 631, 2 USPQ2d 1051, 1053 (Fed. Cir. 1987). "The identical invention must be shown in as complete detail as is contained in the ... claim." Richardson v. Suzuki Motor Co., 868 F.2d 1226, 1236, 9 USPQ2d 1913, 1920 (Fed. Cir. 1989).

The Appellant respectfully traverses the §102(b) rejection of independent claim 1, because Russell fails to disclose and teaches away from "wherein said first electric conductor is operable to transfer a magnetic energy and an electric energy across an interface to said second electric conductor in response to a reception of an alternating electric signal" as recited in independent claim 1.

Specifically, as illustrated in FIGS. 1-3, Russell teaches an electric isolation of core 2 from a core 3 by an insertion of a skin interface 4 between core 2 and core 3. See, Russell at column 2, line 66 to column 3, line 14. As is well known in the art, to establish an electrically isolation of core 2 from core 3, there must be zero voltage drop across skin interface 4 and zero current flow through skin interface 4. Consequently, there must be no electric energy transferred across skin interface 4 to electrically isolate core 2 from core 3.

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001

Page 7 of 23

To transfer power from circuit 10 to circuit 11 as illustrated in FIGS. 2-5, Russell teaches a generation of a magnetic field by core 2 in response to an electric voltage signal applied by circuit 10 to winding 5 whereby the magnetic field of core 2 crosses the skin interface 4 to cut through core 3 and winding 6 to thereby induce a generation of a voltage signal by core 3. Conversely, to transfer power from circuit 11 to circuit 10, Russell teaches a generation of a magnetic field by core 3 in response to an electric voltage signal applied by circuit 11 to winding 6 whereby the magnetic field of core 3 crosses the skin interface 4 to cut through core 2 and winding 5 to thereby induce a generation of a voltage signal by core 2. See, Russell at column 3, lines 18 to 29.

In summary, Russell clearly discloses electric conductor 5 being operable to transfer a magnetic energy via core 2 across skin interface 4 to electric conductor 6 in response to a reception of an alternating electric signal by conductor 5 from circuit 10, and electric conductor 6 operable to transfer a magnetic energy via core 3 across skin interface 4 to electric conductor 5 in response to a reception of an alternating electric signal by conductor 6 from circuit 11. And, by teaching an electric isolation of cores 2 and 3, Russell unequivocally teaches away from electric conductor 5 being operable to transfer an electric energy across skin interface 4 to electric conductor 6 in response to a reception of an alternating electric signal by conductor 5 from circuit 10, and electric

Case No.: US010345 (7790/46) Serial No.: 09/916,955

Filed: July 27, 2001 Page 8 of 23

conductor 6 operable to transfer an electric energy across skin interface 4 to electric conductor 5 in response to a reception of an alternating electric signal by conductor 6

from circuit 11 Otherwise, cores 2 and 3 would not be electrically isolated.

Withdrawal of the rejection of independent claim 1 under 35 U.S.C. §102(b) as being anticipated by *Russell* is therefore respectfully requested.

Claim 2 depends from independent claim 1. Therefore, dependent claim 2 includes all of the elements and limitations of independent claim 1. It is therefore respectfully submitted by the Appellant that dependent claim 2 is allowable over Russell in view of Inoh for at least the same reason as set forth herein with respect to independent claim 1 being allowable over Russell. Withdrawal of the rejection of dependent claim 2 under U.S.C. §103(a) as being patentable over Russell in view of Inoh is therefore respectfully requested.

Case No.: US010345 (7790/46) Serial No.: 09/916,955

Filed: July 27, 2001

Page 9 of 23

Claim 3 depends from independent claim 1. Therefore, dependent claim 3 includes all of the elements and limitations of independent claim 1. It is therefore respectfully submitted by the Appellant that dependent claim 3 is allowable over Russell in view of Esser for at least the same reason as set forth herein with respect to independent claim 1 being allowable over Russell. Withdrawal of the rejection of dependent claim 3 under U.S.C. §103(a) as being patentable over Russell in view of Esser is therefore respectfully requested.

Claim 4 depends from independent claim 1. Therefore, dependent claim 4 includes all of the elements and limitations of independent claim 1. It is therefore respectfully submitted by the Appellant that dependent claim 4 is allowable over Russell in view of Ohno for at least the same reason as set forth herein with respect to independent claim 1 being allowable over Russell. Withdrawal of the rejection of dependent claim 4 under U.S.C. §103(a) as being patentable over Russell in view of Ohno is therefore respectfully requested.

The Appellant respectfully traverses the §102(b) rejection of independent claim 5, because Jueger fails to disclose, teach or suggest "wherein said first electric conductor is operable to transfer a first magnetic energy and a first electric energy across an interface to said second electric conductor in response to a reception of the first electric signal" as recited in independent claim 5.

Case No.: US010345 (7790/46) Serial No.: 09/916,955 Filed: July 27, 2001

Page 10 of 23

Specifically, Jaeger discloses a power source 10, an electric conductor 18 in electrical communication with power source 10 to thereby receive a first electric signal from power source 10, and an electric conductor 28 in electrical communication with a load 20. Jaeger teaches an interconnection of a toroid 12 and a toroid 22 by a single loop 30 across an air interface between toroids 12 and 22, wherein electric conductor 18 is operable to transfer electric energy across the air interface via toroid 12, loop 30 and toroid 22 to electric conductor 28 (i.e., a flow of an electric current through loop 30 as induced by toroid 12, which is energized by the flow of current through conductor 18 as supplied by power source 10). Jaeger fails to teach or suggest electric conductor 18 being operable to transfer magnetic energy across the air interface via toroid 12 and toroid 22 to electric conductor 28 (i.e., a flow of magnetic flux lines from toroid 12 across the air interface to toroid 22 that is induced by the flow of current through conductor 18 as supplied by power source 10).

Withdrawal of the rejection of independent claim 5 under 35 U.S.C. §102(b) as being anticipated by *Jueger* is therefore respectfully requested.

Case No.: US010345 (7790/46)

Senal No.: 09/916,955 Filed: July 27, 2001

Page 11 of 23

Claims 6-9 depend from independent claim 5. Therefore, dependent claims 6-9 include all of the elements and limitations of independent claim 5. It is therefore respectfully submitted by the Appellant that dependent claims 6-9 are allowable over Jaeger for at least the same reason as set forth herein with respect to independent claim 5 being allowable over Jaeger. Withdrawal of the rejection of claims 6-9 under 35 U.S.C. §102(b) as being anticipated by Jaeger is respectfully requested.

Claim 10 depends from independent claim 5. Therefore, dependent claim 10 includes all of the elements and limitations of independent claim 5. It is therefore respectfully submitted by the Appellant that dependent claim 10 is allowable over Jaeger in view of Inoh for at least the same reason as set forth herein with respect to independent claim 5 being allowable over Jaeger. Withdrawal of the rejection of dependent claim 10 under U.S.C. §103(a) as being patentable over Jaeger in view of Inoh is therefore respectfully requested.

Case No.: US010345 (7790/46) Serial No.: 09/916,955

Filed. July 27, 2001

Page 12 of 23

Claim 11 depends from independent claim 5. Therefore, dependent claim 11 includes all of the elements and limitations of independent claim 5. It is therefore respectfully submitted by the Appellant that dependent claim 11 is allowable over Jaeger in view of Esser for at least the same reason as set forth herein with respect to independent claim 5 being allowable over Jaeger. Withdrawal of the rejection of dependent claim 11 under U.S.C. §103(a) as being patentable over Jaeger in view of Esser is therefore respectfully requested.

Claim 12 depends from independent claim 5. Therefore, dependent claim 12 includes all of the elements and limitations of independent claim 5. It is therefore respectfully submitted by the Appellant that dependent claim 12 is allowable over Jaeger in view of Ohno for at least the same reason as set forth herein with respect to independent claim 5 being allowable over Jaeger. Withdrawal of the rejection of dependent claim 12 under U.S.C. §103(a) as being patentable over Jaeger in view of Ohno is therefore respectfully requested

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001

Page 13 of 23

Claim Groups III and V. To establish a prima facie case of obviousness, three basic criteria must be met. First, there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. Second, there must be a reasonable expectation of success. Finally, the prior art reference (or references when combined) must teach or suggest all the claim limitations. See, MPEP §2143.

The Appellant respectfully traverses the §103(a) rejection of dependent claim 16, because Jaeger and Brown in combination fails to disclose, teach or suggest "means for capacitively coupling said third power source and said third load when said first power source and said first load are inductively coupled and when said second power source and said second load are inductively coupled" as recited in independent claim 16.

Specifically, Examiner Polk has correctly recognized Jaeger's failure to disclose, teach or suggest the aforementioned limitation of claim 16. A careful review of Brown reveals that Brown also fails to disclose, teach or suggest the aforementioned limitation of claim 16. Specifically, as illustrated in FIGS 2 and 5, Brown discloses a capacitive coupling of a power source in the form of inductively coupled telephones lines 102 and 103 to a load in the form of bridge rectifier 106 via capacitors 104 and 105. See, Brown

Serial No.: 09/916,955 Filed: July 27, 2001 Page 14 of 23

at column 3, line 20 to column 4, line 2. With the inductively coupled telephone lines 102 and 103 serving as the "third power source" recited in independent claim 16, and the bridge rectifier 106 serving as the "third load" recited in independent claim 16, Brown clearly fails to teach or suggest a "first power source" and "first load" being "inductively coupled" and a "second power source" and "second load" being "inductively coupled" as required by independent claim 16.

Withdrawal of the rejection of independent claim 16 under 35 U.S.C. §103(a) as being unpatentable over Jaeger in view of Brown is therefore respectfully requested.

The Appellant respectfully traverses the §103(a) rejection of independent claim 17, because Jaeger and Brown in combination fails to disclose, teach or "means for capacitively coupling said third power source and said load when said first power source is providing the first electric signal and said second power source is providing the second electric signal" as recited in independent claim 17.

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001

Page 15 of 23

Specifically, Examiner Polk has correctly recognized *Jaeger*'s failure to disclose, teach or suggest the aforementioned limitation of claim 17. A careful review of *Brown* reveals that *Brown* also fails to disclose, teach or suggest the aforementioned limitation of claim 17. Specifically, as illustrated in FIGS. 2 and 5, *Brown* discloses a capacitive coupling of a power source in the form of inductively coupled telephones lines 102 and 103 to a load in the form of bridge rectifier 106 via capacitors 104 and 105. See, *Brown* at column 3, line 20 to column 4, line 2. With the inductively coupled telephone lines 102 and 103 serving as the "third power source" recited in independent claim 17, and the bridge rectifier 106 serving as the "load" recited in independent claim 17, *Brown* clearly fails to teach or suggest a "first power source" providing "a first electric signal" and a "second power source" providing "a second electric signal" as required by independent claim 17.

Serial No.: 09/916,955 Filed: July 27, 2001 Page 16 of 23

Withdrawal of the rejection of independent claim 17 under 35 U.S.C. §103(a) as being unpatentable over Jaeger in view of Brown is therefore respectfully requested.

Dated: February 25, 2004

Respectfully submitted, Demetri Giannopoulos

PHILIPS INTELLECTUAL PROPERTY & STANDARDS
P.O. Box 3001
Briarcliff, New York 10510
(914) 333-9634

Robert J. Kraus Registration No. 26,358 Attorney for Appellant

CARDINAL LAW GROUP Suite 2000 1603 Orrington Avenue Evanston, Illinois 60201 Phone: (847) 905-7111

Fax: (847) 905-7113

Frank C. Nicholas Registration No. 33,983 Attorney for Appellant

Case No.: US010345 (7790/46) Serial No.: 09/916,955

Filed: July 27, 2001 Page 17 of 23

#### <u>APPENDIX</u>

- 1. A dual energy coupling device, comprising:
  - a first electric conductor; and
  - a second electric conductor,

wherein said first electric conductor is operable to simultaneously transfer a magnetic energy and an electric energy across an interface to said second electric conductor in response to a reception of an alternating electric signal.

- 2. The dual energy coupling device of claim 1, wherein: said first electric conductor has a first spiral configuration; and said second electric conductor has a second spiral configuration.
- 3. The dual energy coupling device of claim 1, wherein:
  said first electric conductor and said second electric conductor are symmetrical
  relative to the interface

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed. July 27, 2001

Page 18 of 23

4. The dual energy coupling device of claim 1, further comprising:

a first substrate including a first corrugated surface having said first electric conductor formed thereon; and

a second substrate including a second corrugated surface having said second electric conductor formed thereon.

- 5. A dual energy coupling device, comprising:
  - a first power source operable to provide a first electric signal;
- a first electric conductor in electrical communication with said first power source to thereby receive the first electric signal when said first power source is providing the first electric signal; and

a second electric conductor,

wherein said first electric conductor is operable to simultaneously transfer a first magnetic energy and a first electric energy across an interface to said second electric conductor in response to a reception of the first electric signal.

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001

Page 19 of 23

6. The dual energy coupling device of claim 5, further comprising:

a load in electrical communication with said second electric conductor,

wherein a current drive signal flows through said second electric conductor and said load in response to a reception of said first magnetic energy by said second electric conductor

- The dual energy coupling device of claim 5, further comprising:
  - a second power source operable to provide a second electric signal,
- a third electric conductor in electrical communication with said second power source to thereby receive the second electric signal when said second power source is providing the second electric signal; and
  - a fourth electric conductor,

wherein said third electric conductor is operable to simultaneously provide a second magnetic energy and a second electric energy across the interface to said fourth electric conductor in response to a reception of the second electric signal.

Case No.: US010345 (7790/46)

Serial No: 09/916,955 Filed: July 27, 2001

Page 20 of 23

The dual energy coupling device of claim 7, further comprising:

a load in electrical communication with said fourth electric conductor,

wherein a current drive signal flows through said fourth electric conductor and said load in response to a reception of said second magnetic energy by said fourth electric conductor.

- 9. The dual energy coupling device of claim 7, further comprising:
  - a third power source operable to provide a current control signal; and
- a load operable to be in electrical communication with said third power source in response to a reception of said first electric energy by said second electric conductor and a reception of said second electric energy by said fourth electric conductor to thereby receive the current control signal when said third power source is providing the current control signal.
- The dual energy coupling device of claim 5, wherein:

  said first electric conductor has a first spiral configuration; and
  said second electric conductor has a second spiral configuration.

February 25, 2004 Case No.: US010345 (7790/46) Serial No.: 09/916,955

Filed: July 27, 2001 Page 21 of 23

The dual energy coupling device of claim 5, wherein:

said first electric conductor and said second electric conductor are symmetrical relative to the interface.

- The dual energy coupling device of claim 5, further comprising:

  a first substrate including a first corrugated surface having said first electric conductor formed thereon; and
- a second substrate including a second corrugated surface having said second electric conductor formed thereon.

Page 22 of 23

February 25, 2004 Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001

16. A dual energy coupling device, comprising:

a first power source operable to provide a first electric signal;

a first load;

means for inductively coupling said first power source and said first load when said first power source is providing the first electric signal;

a second power source operable to provide a second electric signal;

a second load:

means for inductively coupling said second power source and said second load when said second power source is providing the second electric signal,

a third power source;

a third load; and

means for capacitively coupling said third power source and said third load when first power source and said first load are inductively coupled and when said second power source and said second load are inductively coupled.

Serial No.: 09/916,955 Filed: July 27, 2001

Page 23 of 23

- 17. A dual energy coupling device, comprising:
  - a first power source operable to provide a first electric signal,
  - a second power source operable to provide a second electric signal;
  - a third power source;
  - a load, and

means for capacitively coupling said third power source and said load when said first power source is providing the first electric signal and said second power source is providing the second electric signal.

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> February 25, 2004 Date of Signature

PATENT Case No. <u>US010345</u> (7790/46)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re pate	nt application of:	)	•		
DEMETRI GIANNOPOULOS, ET AL.			Examiner POLK, SHARON		
Serial No	09/916,955	) }	Group Art Unit: 2836		
Filed:	JULY 27, 2001	ý			
-	UAL ENERGY COUPLING DEVICE	)			

### APPEAL BRIEF

Mail Stop Appeal Brief - Patents Commissioner for Patents PO. Box 1450 Alexandria, VA 22313-1450

Dear Sir

Appellant herewith respectfully presents a Brief on Appeal as follows:

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed July 27, 2001

Page 2 of 23

## I. REAL PARTY IN INTEREST

The real party in interest is Koninklijke Philips Electronics N.V., a corporation of The Netherlands having an office and a place of business at Groenewoudseweg 1, Eindhoven, Netherlands 5621 BA.

# 2. RELATED APPEALS AND INTERFERENCES

Appellant and the undersigned attorney are not aware of any other appeals or interferences which will directly affect or be directly affected by or having a bearing on the Board's decision in the pending appeal.

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Claims 13-15 have been cancelled from the present application. Claims 1-12, 16 and 17 are currently the claims pending in the application, and are the claims on appeal.

See, the Appendix.

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et al

February 25, 2004

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001

Page 3 of 23

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February 25, 2004 Case No.: US010345 (7790/46) Serial No : 09/916,955

> Filed. July 27, 2001 Page 4 of 23

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A request for reconsideration under 37 C.F.R §1.116 involving an amendment of claim 16 was filed on 07/30/2003, but was not entered into the present application by Examiner Polk.

## 5. SUMMARY OF THE INVENTION

As illustrated in FIGS. 1 and 5, a source circuit 10 of a dual energy coupling device employs a source 11, a source 12 and a source 13, and a load circuit 20 of the dual energy coupling device employs a load 21, a load 22, and a load 23. A pair of conductors 14 and 24 serves as means for inductively coupling source 11 and load 21 by a transfer of magnetic energy across an interface 30 when source 11 is providing an electrical signal I<sub>SS1</sub>. Likewise, a pair of conductors 15 and 25 serves as means for inductively coupling source 13 and load 23 by a transfer of magnetic energy across interface 30 when source 12 is providing an electrical signal I<sub>SS2</sub>. Additionally, conductors 14, 24, 15 and 25 serve as means for capacitively coupling source 12 and load 22 by a transfer of electric energy across the interface when source 11 and load 21 are inductively coupled and when source 13 and load 23 are inductively coupled. See, U.S. Patent Application Serial No. 09/916,955 at page 4, line 23 to page 6, line 18; and page 8, line 8 to page 9, line 17.

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001

Page 5 of 23

As illustrated in FIGS. 2A and 3A, to enhance the energy transfers across interface 30, conductors 14 and 24 have spiral configurations that are symmetrical relative to interface 30, and conductors 15 and 25 have spiral configurations that are symmetrical relative to interface 30. Furthermore, as illustrated in FIGS 4A-4E, conductors 14 and 15 are formed on a corrugated surface of a substrate 40, and conductors 24 and 25 are formed on a corrugated surface of a substrate 41. See, U.S. Patent Application Serial No. 09/916.955 at page 6, line 19 to page 8, line 7.

### 6. ISSUE

Whether claims 1-4 are patentable over Russell, and whether claims 5-12, 16 and 17 are patentable over Jaeger.

### 7. GROUPING OF CLAIMS

Claims 1-12, 16 and 17 should be considered as four (4) groups.

Group I includes independent claim 1, and dependent claims 2-4.

Group II includes independent claim 5, and dependent claims 6-12.

Group III includes independent claim 16.

Group IV includes independent claim 17.

Case No.: US010345 (7790/46) Serial No.: 09/916,955

Filed: July 27, 2001 Page 6 of 23

### 8. ARGUMENTS

Claim Groups I and II. "A claim is anticipated only if each and every element as set forth in the claim is found, either expressly or inherently described, in a single prior art reference." Verdegual Bros. v. Union Oil Co. of California, 814 F.2d 628, 631, 2 USPQ2d 1051, 1053 (Fed. Cir. 1987). "The identical invention must be shown in as complete detail as is contained in the ... claim." Richardson v. Suzuki Motor Co., 868 F.2d 1226, 1236, 9 USPQ2d 1913, 1920 (Fed. Cir. 1989).

The Appellant respectfully traverses the §102(b) rejection of independent claim 1, because Russell fails to disclose and teaches away from "wherein said first electric conductor is operable to transfer a magnetic energy and an electric energy across an interface to said second electric conductor in response to a reception of an alternating electric signal" as recited in independent claim 1.

Specifically, as illustrated in FIGS. 1-3, Russell teaches an electric isolation of core 2 from a core 3 by an insertion of a skin interface 4 between core 2 and core 3. See, Russell at column 2, line 66 to column 3, line 14. As is well known in the art, to establish an electrically isolation of core 2 from core 3, there must be zero voltage drop across skin interface 4 and zero current flow through skin interface 4. Consequently, there must be no electric energy transferred across skin interface 4 to electrically isolate core 2 from core 3.

Serial No.: 09/916,955 Filed: July 27, 2001

Page 7 of 23

To transfer power from circuit 10 to circuit 11 as illustrated in FIGS. 2-5, Russell teaches a generation of a magnetic field by core 2 in response to an electric voltage signal applied by circuit 10 to winding 5 whereby the magnetic field of core 2 crosses the skin interface 4 to cut through core 3 and winding 6 to thereby induce a generation of a voltage signal by core 3. Conversely, to transfer power from circuit 11 to circuit 10, Russell teaches a generation of a magnetic field by core 3 in response to an electric voltage signal applied by circuit 11 to winding 6 whereby the magnetic field of core 3 crosses the skin interface 4 to cut through core 2 and winding 5 to thereby induce a generation of a voltage signal by core 2. See, Russell at column 3, lines 18 to 29.

In summary, *Russell* clearly discloses electric conductor 5 being operable to transfer a magnetic energy via core 2 across skin interface 4 to electric conductor 6 in response to a reception of an alternating electric signal by conductor 5 from circuit 10, and electric conductor 6 operable to transfer a magnetic energy via core 3 across skin interface 4 to electric conductor 5 in response to a reception of an alternating electric signal by conductor 6 from circuit 11. And, by teaching an electric isolation of cores 2 and 3, *Russell* unequivocally teaches away from electric conductor 5 being operable to transfer an electric energy across skin interface 4 to electric conductor 6 in response to a reception of an alternating electric signal by conductor 5 from circuit 10, and electric

Case No.: USU10345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001

Page 8 of 23

conductor 6 operable to transfer an electric energy across skin interface 4 to electric conductor 5 in response to a reception of an alternating electric signal by conductor 6 from circuit 11. Otherwise, cores 2 and 3 would not be electrically isolated.

Withdrawal of the rejection of independent claim 1 under 35 U.S.C. §102(b) as being anticipated by Russell is therefore respectfully requested.

Claim 2 depends from independent claim 1. Therefore, dependent claim 2 includes all of the elements and limitations of independent claim 1. It is therefore respectfully submitted by the Appellant that dependent claim 2 is allowable over Russell in view of Inoh for at least the same reason as set forth herein with respect to independent claim 1 being allowable over Russell. Withdrawal of the rejection of dependent claim 2 under U.S.C. §103(a) as being patentable over Russell in view of Inoh is therefore respectfully requested

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001 Page 9 of 23

Claim 3 depends from independent claim 1. Therefore, dependent claim 3 includes all of the elements and limitations of independent claim 1. It is therefore respectfully submitted by the Appellant that dependent claim 3 is allowable over Russell in view of Esser for at least the same reason as set forth herein with respect to independent claim 1 being allowable over Russell. Withdrawal of the rejection of dependent claim 3 under U.S.C. §103(a) as being patentable over Russell in view of Esser is therefore respectfully requested.

Claim 4 depends from independent claim 1. Therefore, dependent claim 4 includes all of the elements and limitations of independent claim 1. It is therefore respectfully submitted by the Appellant that dependent claim 4 is allowable over Russell in view of Ohno for at least the same reason as set forth herein with respect to independent claim 1 being allowable over Russell. Withdrawal of the rejection of dependent claim 4 under U.S.C. §103(a) as being patentable over Russell in view of Ohno is therefore respectfully requested.

The Appellant respectfully traverses the §102(b) rejection of independent claim 5, because Jaeger fails to disclose, teach or suggest "wherein said first electric conductor is operable to transfer a first magnetic energy and a first electric energy across an interface to said second electric conductor in response to a reception of the first electric signal" as recited in independent claim 5

Case No : US010345 (7790/46) Serial No.: 09/916,955

Filed. July 27, 2001

Page 10 of 23

Specifically, Jaeger discloses a power source 10, an electric conductor 18 in electrical communication with power source 10 to thereby receive a first electric signal from power source 10, and an electric conductor 28 in electrical communication with a load 20. Jaeger teaches an interconnection of a toroid 12 and a toroid 22 by a single loop 30 across an air interface between toroids 12 and 22, wherein electric conductor 18 is operable to transfer electric energy across the air interface via toroid 12, loop 30 and toroid 22 to electric conductor 28 (i.e., a flow of an electric current through loop 30 as induced by toroid 12, which is energized by the flow of current through conductor 18 as supplied by power source 10). Jaeger fails to teach or suggest electric conductor 18 being operable to transfer magnetic energy across the air interface via toroid 12 and toroid 22 to electric conductor 28 (i.e., a flow of magnetic flux lines from toroid 12 across the air interface to toroid 22 that is induced by the flow of current through conductor 18 as supplied by power source 10).

Withdrawal of the rejection of independent claim 5 under 35 U.S.C. §102(b) as being anticipated by Jaeger is therefore respectfully requested.

> Serial No.: 09/916,955 Filed: July 27, 2001

Page 11 of 23

Claims 6-9 depend from independent claim 5. Therefore, dependent claims 6-9 include all of the elements and limitations of independent claim 5. It is therefore respectfully submitted by the Appellant that dependent claims 6-9 are allowable over Jueger for at least the same reason as set forth herein with respect to independent claim 5 being allowable over Jueger. Withdrawal of the rejection of claims 6-9 under 35 U.S.C. §102(b) as being anticipated by Jueger is respectfully requested.

Claim 10 depends from independent claim 5. Therefore, dependent claim 10 includes all of the elements and limitations of independent claim 5. It is therefore respectfully submitted by the Appellant that dependent claim 10 is allowable over *Jaeger* in view of *Inoh* for at least the same reason as set forth herein with respect to independent claim 5 being allowable over *Jaeger*. Withdrawal of the rejection of dependent claim 10 under U.S.C. §103(a) as being patentable over *Jaeger* in view of *Inoh* is therefore respectfully requested

Case No.: US010345 (7790/46) Serial No.: 09/916,955

> Filed: July 27, 2001 Page 12 of 23

Claim 11 depends from independent claim 5. Therefore, dependent claim 11 includes all of the elements and limitations of independent claim 5. It is therefore respectfully submitted by the Appellant that dependent claim 11 is allowable over Jaeger in view of Esser for at least the same reason as set forth herein with respect to independent claim 5 being allowable over Jaeger. Withdrawal of the rejection of dependent claim 11 under U S C. §103(a) as being patentable over Jaeger in view of Esser is therefore respectfully requested.

Claim 12 depends from independent claim 5. Therefore, dependent claim 12 includes all of the elements and limitations of independent claim 5. It is therefore respectfully submitted by the Appellant that dependent claim 12 is allowable over Jaeger in view of Ohno for at least the same reason as set forth herein with respect to independent claim 5 being allowable over Jaeger. Withdrawal of the rejection of dependent claim 12 under U S.C. §103(a) as being patentable over Jaeger in view of Ohno is therefore respectfully requested.

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001 Page 13 of 23

Claim Groups III and V. To establish a prima facie case of obviousness, three basic criteria must be met. First, there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. Second, there must be a reasonable expectation of success. Finally, the prior art reference (or references when combined) must teach or suggest all the claim limitations. See, MPEP §2143.

The Appellant respectfully traverses the §103(a) rejection of dependent claim 16, because Jueger and Brown in combination fails to disclose, teach or suggest "means for capacitively coupling said third power source and said third load when said first power source and said first load are inductively coupled and when said second power source and said second load are inductively coupled" as recited in independent claim 16.

Specifically, Examiner Polk has correctly recognized Jaeger's failure to disclose, teach or suggest the aforementioned limitation of claim 16. A careful review of Brown reveals that Brown also fails to disclose, teach or suggest the aforementioned limitation of claim 16. Specifically, as illustrated in FIGS. 2 and 5, Brown discloses a capacitive coupling of a power source in the form of inductively coupled telephones lines 102 and 103 to a load in the form of bridge rectifier 106 via capacitors 104 and 105. See, Brown

Serial No.: 09/916,955 Filed: July 27, 2001

Page 14 of 23

at column 3, line 20 to column 4, line 2. With the inductively coupled telephone lines 102 and 103 serving as the "third power source" recited in independent claim 16, and the bridge rectifier 106 serving as the "third load" recited in independent claim 16, Brown clearly fails to teach or suggest a "first power source" and "first load" being "inductively coupled" and a "second power source" and "second load" being "inductively coupled" as required by independent claim 16.

Withdrawal of the rejection of independent claim 16 under 35 U.S.C. §103(a) as being unpatentable over *Jaeger* in view of *Brown* is therefore respectfully requested.

The Appellant respectfully traverses the §103(a) rejection of independent claim 17, because Jueger and Brown in combination fails to disclose, teach or "means for capacitively coupling said third power source and said load when said first power source is providing the first electric signal and said second power source is providing the second electric signal" as recited in independent claim 17.

Serial No 09/916,955 Filed: July 27, 2001

Page 15 of 23

F-343

Specifically, Examiner Polk has correctly recognized *Jaeger*'s failure to disclose, teach or suggest the aforementioned limitation of claim 17. A careful review of *Brown* reveals that *Brown* also fails to disclose, teach or suggest the aforementioned limitation of claim 17. Specifically, as illustrated in FIGS. 2 and 5, *Brown* discloses a capacitive coupling of a power source in the form of inductively coupled telephones lines 102 and 103 to a load in the form of bridge rectifier 106 via capacitors 104 and 105. <u>See</u>, *Brown* at column 3, line 20 to column 4, line 2. With the inductively coupled telephone lines 102 and 103 serving as the "third power source" recited in independent claim 17, and the bridge rectifier 106 serving as the "load" recited in independent claim 17, *Brown* clearly fails to teach or suggest a "first power source" providing "a first electric signal" and a "second power source" providing "a second electric signal" as required by independent claim 17.

Case No.: US010345 (7790/46) Serial No.: 09/916,955 Filed: July 27, 2001

Page 16 of 23

Withdrawal of the rejection of independent claim 17 under 35 U.S.C. §103(a) as being unpatentable over Jueger in view of Brown is therefore respectfully requested.

Dated: February 25, 2004

Respectfully submitted, Demetri Giannopoulos

PHILIPS INTELLECTUAL PROPERTY & STANDARDS
P.O. Box 3001
Briarchiff, New York 10510

(914) 333-9634

Registration No. 26,358 Attorney for Appellant

Robert J Kraus

CARDINAL LAW GROUP

Suite 2000

1603 Orrington Avenue Evanston, Illinois 60201 Phone: (847) 905-7111

Phone: (847) 905-7111 Fax: (847) 905-7113 Registration No. 33,983 Attorney for Appellant

> Serial No.: 09/916,955 Filed: July 27, 2001 Page 17 of 23

#### APPENDIX

- 1. A dual energy coupling device, comprising:
  - a first electric conductor, and
  - a second electric conductor,

wherein said first electric conductor is operable to simultaneously transfer a magnetic energy and an electric energy across an interface to said second electric conductor in response to a reception of an alternating electric signal.

- 2. The dual energy coupling device of claim 1, wherein said first electric conductor has a first spiral configuration; and said second electric conductor has a second spiral configuration.
- 3. The dual energy coupling device of claim 1, wherein:
  said first electric conductor and said second electric conductor are symmetrical
  relative to the interface

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001

Page 18 of 23

4. The dual energy coupling device of claim 1, further comprising:

a first substrate including a first corrugated surface having said first electric conductor formed thereon; and

a second substrate including a second corrugated surface having said second electric conductor formed thereon.

- 5. A dual energy coupling device, comprising:
  - a first power source operable to provide a first electric signal,
- a first electric conductor in electrical communication with said first power source to thereby receive the first electric signal when said first power source is providing the first electric signal; and

a second electric conductor,

wherein said first electric conductor is operable to simultaneously transfer a first magnetic energy and a first electric energy across an interface to said second electric conductor in response to a reception of the first electric signal.

Serial No.: 09/916,955 Filed: July 27, 2001

Page 19 of 23

6. The dual energy coupling device of claim 5, further comprising:

a load in electrical communication with said second electric conductor,

wherein a current drive signal flows through said second electric conductor and said load in response to a reception of said first magnetic energy by said second electric conductor.

7. The dual energy coupling device of claim 5, further comprising:

4 second power source operable to provide a second electric signal,

a third electric conductor in electrical communication with said second power source to thereby receive the second electric signal when said second power source is providing the second electric signal, and

a fourth electric conductor,

wherein said third electric conductor is operable to simultaneously provide a second magnetic energy and a second electric energy across the interface to said fourth electric conductor in response to a reception of the second electric signal.

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001 Page 20 of 23

The dual energy coupling device of claim 7, further comprising:
 a load in electrical communication with said fourth electric conductor,

wherein a current drive signal flows through said fourth electric conductor and said load in response to a reception of said second magnetic energy by said fourth electric conductor.

- 9. The dual energy coupling device of claim 7, further comprising:

  a third power source operable to provide a current control signal, and
  a load operable to be in electrical communication with said third power source in
  response to a reception of said first electric energy by said second electric conductor and
  a reception of said second electric energy by said fourth electric conductor to thereby
  receive the current control signal when said third power source is providing the current
  control signal.
- 10. The dual energy coupling device of claim 5, wherein:
  said first electric conductor has a first spiral configuration; and
  said second electric conductor has a second spiral configuration.

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed: July 27, 2001

Page 21 of 23

- The dual energy coupling device of claim 5, wherein.

  said first electric conductor and said second electric conductor are symmetrical relative to the interface.
- 12. The dual energy coupling device of claim 5, further comprising:
- a first substrate including a first corrugated surface having said first electric conductor formed thereon; and
- a second substrate including a second corrugated surface having said second electric conductor formed thereon.

Case No.: US010345 (7790/46)

Serial No.: 09/916,955 Filed July 27, 2001

Page 22 of 23

16. A dual energy coupling device, comprising:

a first power source operable to provide a first electric signal;

a first load:

means for inductively coupling said first power source and said first load when said first power source is providing the first electric signal;

a second power source operable to provide a second electric signal;

a second load;

means for inductively coupling said second power source and said second load when said second power source is providing the second electric signal;

a third power source;

a third load; and

means for capacitively coupling said third power source and said third load when first power source and said first load are inductively coupled and when said second power source and said second load are inductively coupled.

> Serial No.: 09/916,955 Filed: July 27, 2001 Page 23 of 23

- 17. A dual energy coupling device, comprising:
  - a first power source operable to provide a first electric signal;
  - a second power source operable to provide a second electric signal;
  - a third power source,
  - a load; and

means for capacitively coupling said third power source and said load when said first power source is providing the first electric signal and said second power source is providing the second electric signal.

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FEBRUARY 25, 2004

RANK C NICHOLAS

PET	ITION FOR EXTENSI	ON OF TIME UNDER	R 37 CFR 1.136(a	a)	Docket Number US010345 (7790/46)	
		In re Application of DEMETRI GIANN			OPOULOS, ET AL.	
		Application Number.	09/916,955	Filed.	JULY 27, 2001	
		For DUAL ENERGY COUPLING DEVICE				
		Group Art Unit: 2836		Examine	er: POLK, S.	
his is a re inef in the	quest under the provision above identified applica	ons of 37 CFR 1.136(a)	to extend the period	od for fili	ng a response to the Appeal	
he reques	sted extension and appr	opriate non-small-entity	fee are as follows			
	One month (37 CFR 1	17(a)(1))		\$	110 00	
$\Box$	Two months (37 CFR	1.17(a)(2))		\$	420.00	
$\overline{\Box}$	Three months (37 CFF	R 1.17(a)(3))		\$	950.00	
×	Four months (37 CFR	1.17(a)(4))		\$	1,480.00	
	Five months (37 CFR	1.17(a)(5))		\$	2,010.00	
	Applicant is a small entity under 37 CFR 1.9 and 1.27; therefore the fee amount shown above is reduced by one-half, and the resulting fee is \$					
	A small entity statement under 37 CFR 1.27:					
	is enclosed					
	has already been fi	iled in this application.				
	A check in the amount of \$ is enclosed.					
X	The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1713. A duplicate copy of this sheet is enclosed.					
Date	d. <u>February 25, 2004</u>		FRANK C. N/CHO Registration No. 3 Attorney for Applic	3,983	<u>/</u>	
Suite 1603 Evar	DINAL LAW GROUP 2000 3 Orrington Avenue 1ston, Illinois 60201 ) 905-7111					

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Patent and Trademain Orice, U.S. DEPARTMENT OF COMMERCE

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FEBRUARY 25 2004

RANK C NICHOLAS

PETI	TION FOR EXTENS	ION OF TIME UND	ER 37 CFR 1.136	(a)	Docket Number US010345 (7790/46)		
		In re Application of DEMETRI GIANNOPOULOS, ET AL.					
		Application Number	09/916,955	Filed	JULY 27, 2001		
		For: DUAL ENERGY COUPLING DEVICE					
		Group Art Unit 28	36	Examine	er POLK, S.		
his is a req	uest under the provisi	ons of 37 CFR 1 136 ation.	(a) to extend the per	iod for fili	ng a response to the Appeal		
	ed extension and app		tity fee are as follow	\$ <sup>.</sup>			
	One month (37 CFR 1	17(a)(1))		\$	110.00		
	Two months (37 CFR	1.17(a)(2))		\$	420 00		
	Three months (37 CF)	R 1.17(a)(3))		\$	950.00		
×	Four months (37 CFR	1.17(a)(4))		\$	1.480.00		
	Five months (37 CFR	1 17(a)(5))	· .	\$	2,010.00		
		small entity under 37 CFR 1.9 and 1.27; therefore the fee amount shown above is e-half, and the resulting fee is \$					
,	A small entity stateme	nt under 37 CFR 1.23	7.		;		
	is enclosed						
	nas already been f	led in this application	•				
	A check in the amoun	of \$ is enclose	ed				
	The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. <u>50-1713</u> . A duplicate copy of this sheet is enclosed						
Dated.	February 25, 2004		FRANK C N/CHO Registration No. 3: Attorney for Applic	LAS 3.983	··		
Suite 2 1603 C Evanst	NAL LAW GROUP 000 Orrington Avenue on, Illinois 60201 005-7111				·		